

1 October 2013

Dear Vessel Skipper, Captain, Operator or Manager

### IMPORTANT OPERATIONAL PROCEDURES UPDATES (AS AT 1 OCTOBER 2013)

Please note important updates to the Operational Procedures Vessel Manual 2011-12, effective 1 October 2012, for the 2013-14 fishing year.

Please ensure your vessels' crew are aware of all changes and that all OP Manuals are updated accordingly with the information enclosed.

**Note this letter is to be filed at the front of the OP Manual. Sign-off each change to acknowledge:**

- All enclosed updates have been filed accordingly
- That you and your crew are aware of all updates and have undertaken any necessary actions.

### Update Actions to Take

SECTION	ACTION TO TAKE	WHAT'S NEW	SIGNATURE
Cover	<ul style="list-style-type: none"> <li>• <b>Remove</b> front cover <i>Operational Procedures Vessel Manual 2012-13</i> from plastic insert.</li> <li>• <b>Insert</b> new cover <i>Operational Procedures Vessel Manual 2013-14</i>.</li> </ul>		
Inside Front Cover	<ul style="list-style-type: none"> <li>• <b>Remove</b> cover letter at front of folder "<i>Important Operational Procedures Updates</i>" dated 1 October 2012.</li> <li>• <b>Replace</b> with cover letter "<i>Important Operational Procedures Updates</i>" dated 1 October 2013.</li> </ul>	2013-14 Operational Procedure Updates.	
Hoki	<ul style="list-style-type: none"> <li>• <b>Remove</b> <i>Hoki Fisheries East/West Catch Limit Agreement Memorandum 2011-12 (after page 9)</i>.</li> <li>• <b>Replace</b> with <i>Hoki Fisheries East/West Catch Limit Agreement Memorandum 2013-14 (after page 9)</i>.</li> </ul>	Updated TACC and Catch Limits.	
Orange Roughy & Oreo	<ul style="list-style-type: none"> <li>• <b>Remove</b> complete section "<i>Orange Roughy and Oreo Fisheries, Version 4, 1 October 2011</i>" (pages 1-12).</li> <li>• <b>Replace</b> with new "<i>Orange Roughy and Oreo Fisheries, Version 5, 1 October 2013</i>" (pages 1-11).</li> </ul>	<ul style="list-style-type: none"> <li>• Changes to reporting procedures (Part 5, page 7).</li> <li>• Cover letter regarding Orange Roughy and Oreo Catch Limits.</li> <li>• Changes to Schedule 1, (pages 8-11) reflecting any changes in ORH and OEO catch limits and quota owners proportions for 2013-14.</li> </ul>	
Squid	None	No Changes	
Vessel Management Plan	None	No Changes	

SECTION	ACTION TO TAKE	WHAT'S NEW	SIGNATURE
Marine Mammals	None	No Changes	
Reporting	<ul style="list-style-type: none"> <li>• <b>Remove</b> complete section “Reporting Guide”, Version 5, 1 October 2011 (pages 1-6).</li> <li>• <b>Replace</b> with new “Reporting Guide”, version 6, 1 October 2013 (pages 1-6).</li> </ul>	New reporting procedures to collect data from fisheries.	
Other	None	No other updates at this time	
Sharks	None	NPOA-Sharks not finalised	

### Shark Operational Procedures

- Due to the review of the NPOA-sharks, a Shark Operational Procedures section is being developed. These OPs will detail the:
  - required and regulatory requirements for identifying, releasing and disposing of sharks; and
  - industry-agreed principles and rules in regard shark utilisation in New Zealand.
- This OP will not be finalised until such time as the Government review of the NPOA-Sharks is complete. This will be circulated to you for inclusion at that time.

### DWG Contacts

<b>DWG Reception</b>	Phone: (04) 802 1844 Email: admin@deepwatergroup.org
<b>Richard Wells</b> Fisheries Specialist	Phone: 021 457 123 Email: richard@resourcewise.co.nz
<b>John Cleal</b> Industry Liaisons Officer	Phone: 021 305 825 Email: john.fvms@xtra.co.nz
<b>DWG Address</b>	For postal information if required please contact DWG  Phone: (04) 802 1844 Email: admin@deepwatergroup.org

Kind regards



Richard Wells  
Fisheries Specialist

## Summary of Agreement

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The following summarises the Hoki Fisheries East/West Catch Limit Agreement (Agreement) agreed by hoki (HOK) quota and ACE owners and implemented and administered by Deepwater Group Ltd (DWG) for the coming year 2013-14 fishing year.

Enclosed with this explanatory memorandum is this year's Catch Limit Agreement ready for signing.

It is intended that this Agreement will apply for the fishing year commencing on 1 October 2013.

This explanatory memorandum is being sent to:

- All HOK1 quota owners;
- All permit holders who are not HOK1 quota owners but who used HOK1 ACE to balance catch during the last fishing year.

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### **Purpose & Context of this Agreement**

The purpose of this Agreement is to record agreement by HOK1 ACE owners that they will manage their catches of HOK1 from each of the Eastern and Western stocks, in accordance with the agreed catch limits and areas.

The HOK1 fishery is not formally subdivided into separate QMAs at this time.

This Agreement is a contractual agreement between the participants in the HOK1 fisheries. It is an addition to and not in substitution of any of the separate statutory obligations of permit holders and the owners of HOK1 quota and ACE. All legal obligations that presently exist relating to the trading and reporting of HOK1 quota, ACE and catch remain unaffected by anything in this Agreement.

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### **Purpose of separate catch limits for Eastern and Western hoki stocks**

The best available science accepts there are two separate stocks of hoki within HOK1 for management purposes. For a number of years the Minister and the Ministry have asked HOK1 quota owners to implement a robust management structure that enables separate catch limits to be set and the management of catches from each of the Eastern and Western stocks to be within these limits.

Separate catch limits are desirable from a sustainability perspective. These support the ongoing certification of the hoki fisheries and serve to replace the need to formally subdivide the HOK1 Quota Management Area (QMA) and set two TACCs.

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### **Why not formally subdivide the HOK1 fish stock?**

The Fisheries Act 1996 does allow a Quota Management Area to be subdivided, either with the agreement of quota owners, or alternatively by the Minister if there are sustainability reasons for doing so.

In the longer term HOK1 quota owners may see this as a desired option but, at this stage, quota owners have elected to retain flexibility without formally subdividing the HOK1 QMA into two QMAs.

In a practical sense, this Agreement seeks to achieve the same outcome by using an agreed contractual framework.

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### **What are the boundaries of the Eastern/Western fisheries?**

A map showing the boundaries and the relevant co-ordinates for the two designated areas for each of the hoki stocks is shown in Schedule 1 of the Agreement.

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### **Agreement acknowledges TACC increased and agreed sub-limits**

The Agreement for 2013-14 is based on the HOK1 TACC of 150,000 tonnes for 2013 -14 and sub-area catch limits of 60,000 tonnes for the Eastern stock (known as HOK1E) and 90,000 tonnes for the Western stock (known as HOK1W).

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# EXPLANATORY MEMORANDUM HOKI FISHERIES EAST/WEST CATCH LIMIT AGREEMENT

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## How long will the Agreement last?

The Agreement is for the 2013-14 fishing year (i.e. 1 October 2013 to 30 September 2014).

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## Who will need to be a party to the Agreement?

As this is a contractual agreement, each participant has the choice as to whether or not they sign it.

However, the Agreement is considered to be an essential part of the hoki management by the Ministry and the Minister and has been endorsed by all of the larger quota owners and by the Directors of DWG.

The intention is that you will agree to be a party to the Agreement if:

- You are the owner of HOK1 quota that will generate 275 tonnes or more of HOK1 ACE on the first day of the new fishing year; or
- If you purchase ACE at any time during the fishing year from anyone who is already a party to the Agreement.

Anyone who is a party to the Agreement is not permitted under the Agreement to sell ACE to any other party without first ensuring that the buyer has become a party to the Agreement. This is to ensure that when ACE is sold by a participant, the participant is always selling either HOK1E or HOK1W, and that the purchaser of that ACE purchases and uses the ACE to balance catch on that basis.

From a practical perspective, this means that HOK1 quota owners who will be allocated less than 275 tonnes of ACE on the first day of the fishing year, and permit holders who do not own any HOK1 quota, but who purchase small amounts of ACE to balance their catch from quota owners who own less than 275 tonnes of HOK1, will not be obliged to manage their catches in accordance with the agreed East/West catch limits.

Structuring the Agreement in this way will ensure that approximately 97% of the available HOK1 ACE will be covered by the Agreement, based on the ACE trading in previous years.

However, any permit holder who receives this Agreement is encouraged to sign the Agreement before the commencement of the fishing year, even if they are not going to be immediately affected by it. If you anticipate purchasing ACE from someone who will be a participant during the year, then it will speed up the ACE transfer process if you are already a participant.

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## Who will administer the separate catch limits?

FishServe has been contracted by DWG to administer and monitor catches against the catch limits, just as it does for the official statutory trading and balancing regime.

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## What will it cost?

HOK1 quota owners will meet the costs incurred by FishServe in administering these catch limits. As such, there will be no additional transaction charges for ACE owners associated with this trading and reporting regime.

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## Separate register

In addition to the official statutory ACE register for HOK1, FishServe will create and administer a non-statutory register for catches from HOK1E and HOK1W stocks. This will enable them to administer ACE trading, catch reporting and balancing for these stocks.

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# EXPLANATORY MEMORANDUM

## HOKI FISHERIES EAST/WEST

### CATCH LIMIT AGREEMENT

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#### ACE generation on the first day of the fishing year

On 1 October 2013, when FishServe's systems generate the HOK1 ACE holdings, FishServe will simultaneously subdivide the ACE holdings of all persons who have signed the Catch Limit Agreement. The figures below reflect the option that the TACC will increase this year. It will notify those quota owners of their:

- Total HOK1 ACE holdings;
- HOK1E ACE holdings (40% of their total holdings);
- HOK1W ACE holdings (60% of their total holdings).

Quota owners with less than 275 tonnes of ACE, and those who have elected not to sign the Agreement, will be notified only of their HOK1 holdings. These holdings will not be subdivided.

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#### Trading ACE

Whenever anyone who is a party to the Agreement is selling HOK1 ACE, they will need to notify FishServe whether they are transferring HOK1E, or HOK1W, or both. This notification can be by email and essentially simultaneous with the ACE trade. It is necessary to do this in a separate email to FishServe as these details cannot be put on the statutory trading documents.

FishServe will immediately follow up anyone who forgets to notify FishServe of whether the ACE traded is HOK1E or HOK1W.

If the person buying the ACE is not a party to the Agreement, but is purchasing from someone who is a party to the Agreement, then the seller will need to ensure that the purchaser has become a party before they transfer the ACE to them.

If a person who is a party to the Agreement purchases HOK1 ACE from a smaller entity that is not a party to the Agreement, then when that ACE is brought into the holdings of the person who is a party, it will be split by FishServe in the same 40:60 proportions that occurred when ACE was generated at the beginning of the fishing year.

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#### How will catch reporting occur?

Your statutory catch reporting obligations are not affected by this proposal (i.e. TCEPRs, TCERs, CELRs, CLRs, MHRs, etc.). The contents of these documents are prescribed by regulation and cannot and are not modified by way of this Agreement.

The only additional reporting required by participants under this Agreement is at the point when you lodge your MHR with FishServe. At the time you submit your MHR you will need to notify FishServe of the proportions of your catch from each of HOK1E and HOK1W. This additional reporting will again occur electronically by email.

Emails should be sent to: [registry@fishserve.co.nz](mailto:registry@fishserve.co.nz)

The subject heading should be "Hoki Catch Limits".

FishServe will again follow up anyone who forgets to notify their catch split at the time they lodge their MHR.

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#### Will there be separate deemed values for HOK1E and HOK1W?

There will not be a separate deemed value regime for HOK1E and HOK1W. Deemed values will only be levied on the current basis, where a permit holder exceeds their total HOK1 holdings.

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# EXPLANATORY MEMORANDUM

## HOKI FISHERIES EAST/WEST

### CATCH LIMIT AGREEMENT

#### Will carry forward rights apply to HOK1E and HOK1W?

Exactly the same carry forward rights that apply in HOK1 also will apply in respect of HOK1E and HOK1W. That means that both HOK1E and HOK1W are treated as separate fish stocks and the total available under carry forward rights may not equal the HOK1 calculation for under-fishing.

An example of calculation of under-fishing rights for HOK1E and HOK1W:

HOK1			HOK1E			HOK1W		
ACE	Catch	U/fishing	ACE	Catch	U/fishing	ACE	Catch	U/fishing
1,000	565	100	400	20	40	600	545	55

#### Notification of catch balances

When FishServe notifies participants of their HOK1 catch balances, it will simultaneously notify their HOK1E and HOK1W balances.

#### Catch balances will be disclosed to DWG and other participants

It is critical to the success of these industry agreed catch limits that there is a transparent and robust regime for publishing and verifying catch balances. This needs to occur at an individual level as well as across the fishery as a whole; such that the Industry, Ministry and Minister can see that the catch limits are not being exceeded.

To enable this to occur the HOK1E and HOK1W catch balances will be notified to DWG, and then to all participants as soon as practicable after the end of the first and second quarters (31 December 2013 and 31 March 2014) and thereafter, as soon as practicable after the end of each month, commencing at the end of May 2014.

This differs from the statutory regime, which has catch balances reported on a quarterly basis for the first three quarters and monthly thereafter. Given the timing of the commencement of the main hoki season, it is considered desirable to have this information available on a monthly basis from the end of May onwards.

#### How will the catch balance be verified?

The Agreement authorises the Ministry to release catch and landing data to FishServe, and then summaries of ACE and catch balances to DWG and subsequently to the Minister. Reports from the Ministry's catch effort database enable DWG and the Minister (through FishServe) to verify that the catch reporting provided by each permit holder accurately reflects where their hoki were actually caught. These verification reports will become available a few weeks after the catch balance reports are notified.

The Agreement provides for a short (i.e. five working days) period in which FishServe can try and resolve any discrepancies before any reporting to DWG occurs.

#### What enforcement mechanisms are contained in the Agreement?

There are no enforcement mechanisms in this Agreement. This is deliberate.

At this stage, it is planned that compliance can be ensured through the fact that there will be a transparent and robust process for the reporting of any lack of balancing by participants. As such, if any participant fails to adhere to the separate catch limits, it will be known to all other participants.

If the management goals cannot be achieved by Quota Owners through contractual agreements, the Minister has previously advised that he will look at alternative options, which will likely involve some form of regulation. It is hoped the Industry participants can achieve these outcomes without the need for either regulations or the formal subdivision of the HOK1 QMA.

# EXPLANATORY MEMORANDUM HOKI FISHERIES EAST/WEST CATCH LIMIT AGREEMENT

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## When do I need to sign the Agreement?

As soon as possible please.

Can you please read the attached Agreement and, if you are in agreement with its terms, please sign the execution form (Schedule 2 of the Agreement) and return to Richard Wells at DWG as soon as possible by post to

**Private Bag 24901, Wellington 6142** or email a scanned .pdf document to:  
[admin@deepwatergroup.org](mailto:admin@deepwatergroup.org)

As previously noted:

- Anyone who is a HOK1 quota owner whose quota will generate 275 tonnes or more of HOK1 ACE on 1 October 2013, is requested to sign the Agreement;
- Any smaller quota owners or permit holders who do not own quota, but who expect to purchase ACE from someone who is likely to be a participant, should also sign the Agreement please.

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## Further questions?

If you have any further questions you would like answered before signing the Agreement, please contact Richard Wells by phone on (021) 457 123 or by email

[richard@resourcewise.co.nz](mailto:richard@resourcewise.co.nz)

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# OPERATIONAL PROCEDURES ORANGE ROUGHY AND OREO FISHERIES

## Part 1: Introduction

The following Operational Procedures (OPs) stipulate the management measures agreed between orange roughy (ORH) and oreo (OEO) quota owners and ACE owners and the Ministry of Fisheries (Ministry), and implemented and administered by the Deepwater Group Ltd (DWG).

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### Purpose of these Procedures

These OPs have been established so that agreed management measures are clearly communicated to, and understood by vessel captains, vessel managers and quota managers.

These non-regulatory management measures are required to give effect to the management objectives implemented for these stocks and are therefore a part of the overarching Ministry and DWG management framework.

These OPs include:

- DWG/Ministry agreed catch limit regimes by species and designated sub-area.
- Maps and coordinates defining sub-area boundaries.
- Catch limits for sub-areas (by species where relevant).
- Company *pro rata* quota (catch limit) allocations by sub-area.
- Catch reporting requirements and reporting form.

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### Objectives of these Procedures

The objectives of these OPs are:

- To ensure sustainable utilisation of the orange roughy (ORH) and oreo dory (OEO) resources.
- To spread catches within QMAs by sub-area where the best available information suggests this is useful to ensure better management of these identified ORH and OEO stocks.
- To ensure that all trawlers targeting ORH and OEO are able to implement the practices agreed between quota owners and Ministry.
- To monitor individual company performance against the agreed management measures for the ORH and OEO fisheries.

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### Status of these Procedures

This OP will come into effect on 1 October 2011.

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### Application of these Procedures

These OPs apply to all companies and vessels targeting ORH and OEO stocks except ORH1.

Vessels taking ORH and OEO as by-catch should also adhere to these OPs.

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### Other Key Operational Documents or Rules and Regulations

These OPs are to be used in conjunction with, but do not replace, the following:

- Marine Mammal Operating Procedures (MMOPs).
  - Vessel Management Plans (VMPs) for mitigation of seabird captures.
  - All or any relevant laws and regulations pertaining to fisheries activities in New Zealand waters.
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# OPERATIONAL PROCEDURES ORANGE ROUGHY AND OREO FISHERIES

## Part 2: Responsibilities of Parties

The following outlines the responsibilities of parties to the ORH and OEO Operational Procedures.

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### **Commitment to these Procedures and the Deed of Management**

All ORH and OEO Shareholders and ACE owners, and owners or operators of vessels in the orange roughy and oreo fisheries, are required by DWG to adhere to these OPs.

The authority for this management undertaking is the Deepwater Fisheries Management Agreement (2005-06), which remains in force until such time as it is revoked by the Parties.

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### **Shareholders' Obligations & Implementation of Sub-Area Rules on Sales of ACE**

Shareholders shall ensure that any user of their ACE is aware of these OPs and signs a written acknowledgement of their intention to abide by them, prior to any fishing.

Quota owners have agreed to condition any sale of ACE with the sub-area catch limit rules described in these OPs.

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### **Vessel Owners' and Operators' Obligations**

All vessel owners and operators shall:

- Ensure vessel managers are fully aware of, and actively implement, the provisions of these OPs.
- Ensure that officers and crew of all trawlers that target orange roughy and/or oreo are aware of and act in accordance with the requirements of these OPs.
- Ensure that a copy of these OPs is kept on the bridge of all trawlers catching orange roughy and oreo (i.e. as target or bycatch).
- Take responsibility for corrective action should the vessel, captain or crew fail to observe the requirements of these OPs.
- Ensure that all sub-area and species catches stipulated below are recorded and provided to DWG as required.

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### **Captains' Obligations**

All Captains shall ensure that:

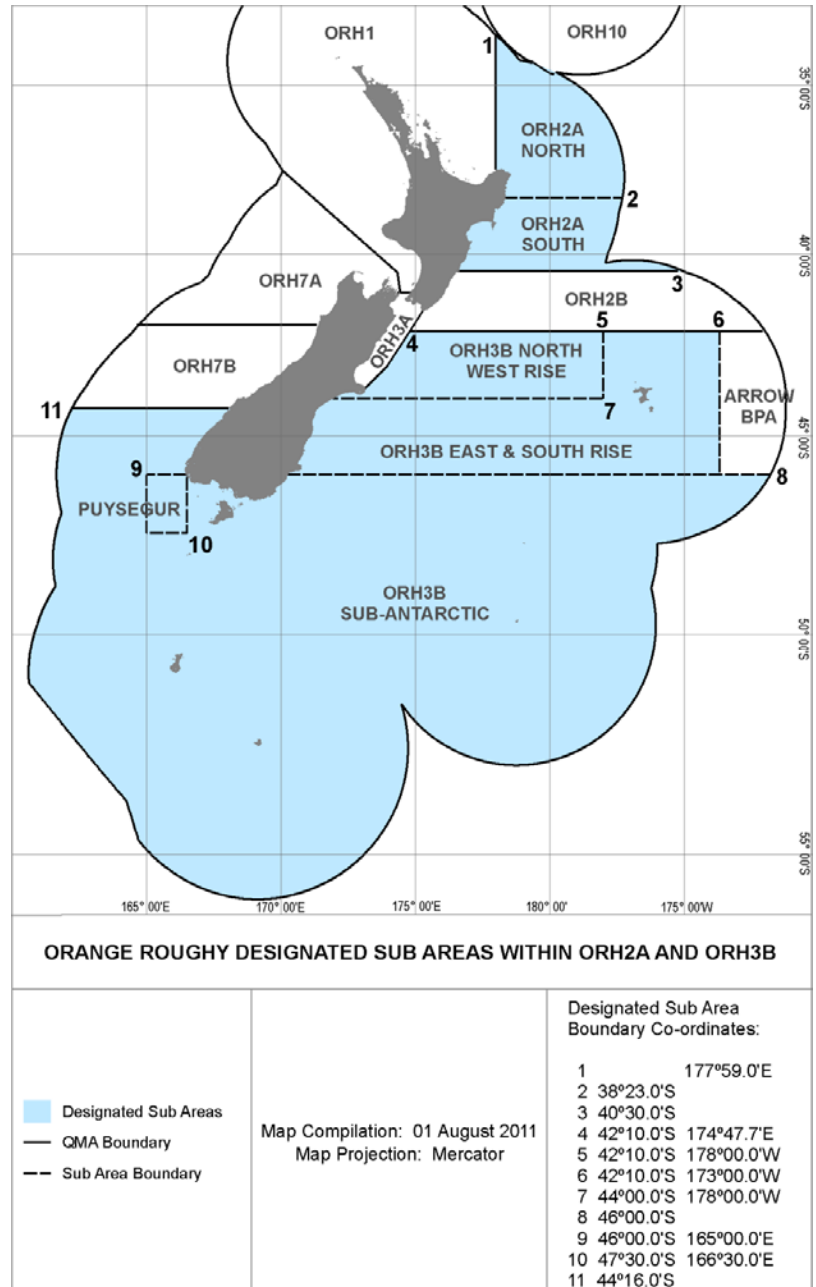
- Any trawler catching orange roughy and/or oreo has a copy of these OPs on the bridge.
  - Their vessel adheres to the provisions of these OPs.
  - Records required for monitoring these OPs are collected and forwarded to their company.
  - All key crew members are fully briefed on the requirements of these OPs.
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# OPERATIONAL PROCEDURES ORANGE ROUGHY AND OREO FISHERIES

## Part 3: ORH2A and ORH3B Sub-Area Catch Limit Management

Shareholders have agreed to designated sub-areas in the ORH2A and ORH3B QMAs (boundaries as specified in Figure 1) for the purpose of catch spreading. The catch limits assigned to these areas are specified in Schedule 1, Table 1. Previous requirements to manage ORH catches in the Sub-Antarctic (ORH3BX) by feature (with associated daily reporting) are no longer required.

**Figure 1:** ORH2A (North and South) and ORH3B (North-West Rise, East & South Rise, Sub-Antarctic and Puysegur) Designated Sub-area Boundaries



# OPERATIONAL PROCEDURES ORANGE ROUGHY AND OREO FISHERIES

## Part 4: OEO1 and OEO3A Catch Limit Management

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### **OEO1 SSO and OEO3A SSO and BOE SOR Catch Management**

Shareholders have agreed with the Ministry to manage OEO catches within the OEO1 Southland sub-area and within OEO3A. This management takes the form of:

**OEO1:** A catch limit for SSO in the Southland sub-area “Box”. The Southland sub-area boundaries are illustrated in Figure 2. The catch limit is in Schedule 1, Table 2.

*Note: This catch limit includes any SSO taken as by-catch in other fisheries.*

**OEO3A:** The TACC is split between SSO and BOE/SOR. The proportions and catch limits are in Schedule 1, Table 2.

*Note: This catch limit includes any SSO or BOE SOR taken as by-catch in other fisheries.*

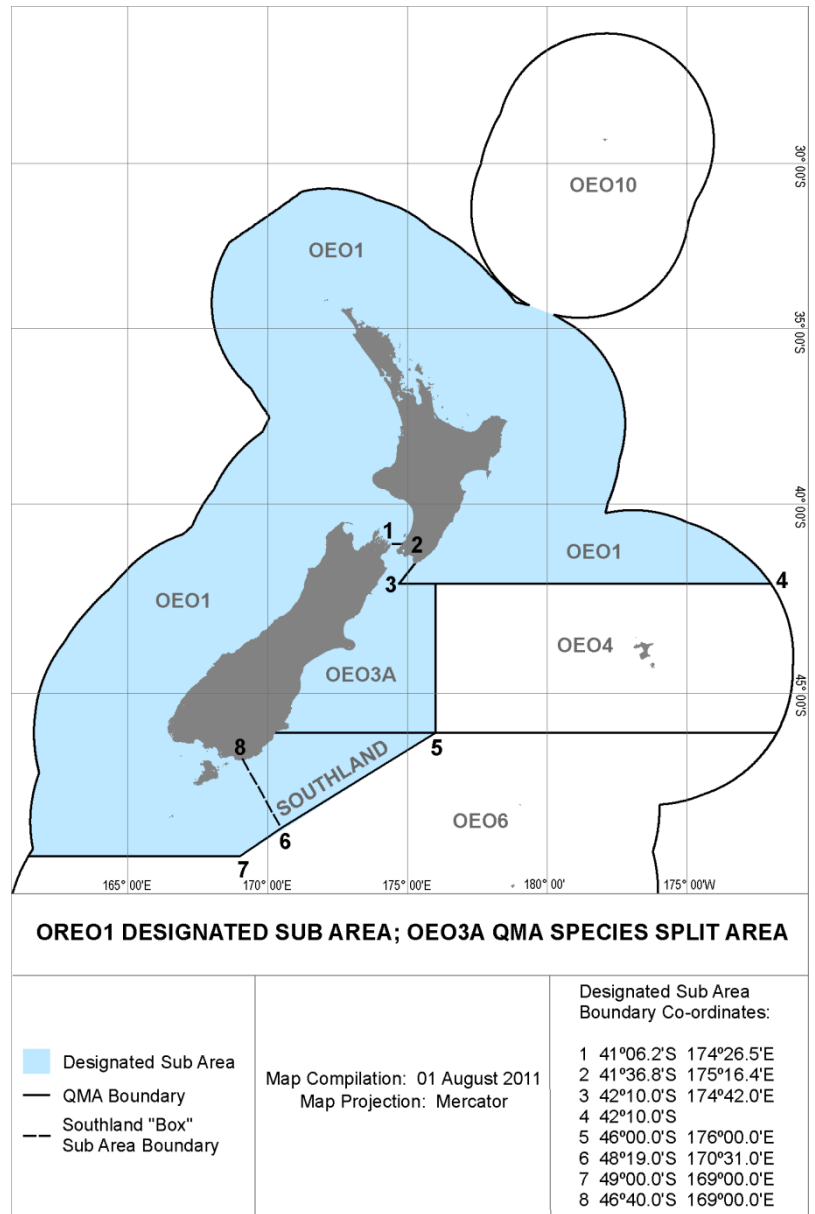
The *pro rata* allocations of the OEO1 Southland “Box” SSO and the OEO3A SSO and BOE/SOR catch limits for all quota owners are provided in Schedule 1, Table 5.

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# OPERATIONAL PROCEDURES ORANGE ROUGHY AND OREO FISHERIES

## Part 4: OEO1 and OEO3A Catch Limit Management

**Figure 2:** OREO Designated Sub-areas in OEO1 and Designated Ace in OEO3A



# OPERATIONAL PROCEDURES ORANGE ROUGHY AND OREO FISHERIES

## Part 5: Catch Reporting

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The following outlines the reporting requirements for ORH and OEO. Note this reporting is now accessed and entered via the FishServe website ([www.fishserve.co.nz](http://www.fishserve.co.nz)) Stakeholder page.

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### ORH Catch Reporting by Sub-Area

48 hour landing reports of catches from designated sub-areas for:

- ORH 1
  - Area A
  - Area B
  - Area C
  - Area D
  - And Mercury/Collville Box.

Monthly reporting of catches from designated sub-areas for:

- ORH 1
  - Area A
  - Area B
  - Area C
  - Area D
  - And Mercury/Collville Box.
- ORH2A
  - North
  - South.
- ORH3B
  - Northwest Chatham Rise
  - East & South Chatham Rise
  - Sub-Antarctic.

Note: For boundaries of these agreed catch limit sub-areas, please refer to Figure 1, Operational Procedures for the Orange Roughy and Oreo Fisheries or, in the case of ORH1, the appendices of the ORH1 Management Deed.

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### OEO Catch Reporting by Species

Monthly reporting of catches by species of oreo from:

- OEO1 Southland sub-area
  - SSO and BOE/SOR
- OEO3A
  - SSO and BOE/SOR

Note: For boundaries of these FMA sub-areas, please refer to Figure 2, Operational Procedures for the Orange Roughy and Oreo Fisheries.

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### Reporting Deadlines

Monthly reports are required on the day of furnishing MHRs to FishServe.

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12 October 2013

To All Quota Owners, Vessel Operations Managers and Vessel Skippers

## **UPDATE: Agreed 2013-14 Management Procedures for ORH and OEO**

Please find enclosed an updated version of Schedule 1 to the Orange Roughy and Oreo Fisheries Operational Procedures for the 2013-14 fishing year.

These outline the agreed procedures for ORH and OEO sub-area catch limits (including quota ownership and proportions).

Please ensure that the previous version (i.e. reflecting September 2012-13 quota ownership and catch limits) is **removed** from each of your Company's copies of the Operational Procedures Manual and **replaced** with this current version.

### **Key points to note for the 2013-14 fishing year:**

- The Minister has agreed to increase ORH3B TACC and the catch limit for ORH3B ESCR, the details of which are provided within his decision letter circulated to all quota owners
- The principal owners of ORH3B quota have agreed to not target fish in ORH3B NWCR pending consideration of the 2014 stock assessment results (due in the first quarter of 2014)
- Owners of ORH2A, ORH2B and ORH3A quota have agreed to collectively shelve 300 t of ORH MEC ACE, on the same basis as in 2012-13
- Owners of ORH1 quota have agreed to a Management Deed, separate from the DWG Orange Roughy and Oreo Operational Procedures, which specifies the agreed management regime for this fishstock.

This effectively means that:

### **1. ORH1**

- ORH1 TACC (1,400 t) and catch limits by sub-area or species remain the same as last year, i.e.:
  - Area A catch limit remains the same at 500 gwt;
  - Area B catch limit remains the same at 500 gwt;
  - Area C catch limit remains the same at 500 gwt;
  - Area DS catch limit remains the same at 500 gwt;
  - Mercury/Colville Box sub-limit remains the same at 30 gwt.
- There are further conditions and requirements for the management of ORH1 and any operator intending to fish in this fishery, or to trade ORH1ACE, should obtain a copy of the agreed 2013-14 ORH1 Management Deed from DWG.

### **2. ORH MEC (ORH2A (South), ORH2B and ORH3A)**

DWG ORH Shareholders have agreed to collectively shelve 300 gwt ACE from the Mid-East Coast ORH fishery on the following terms:

- ORH2A TACC remains the same at 875 gwt. This will be applied to the agreed sub-areas as follows:
  - ORH2A North sub-area catch limit remains the same at 200 gwt; and
  - ORH2A South sub-area catch limit remains the same at 675 gwt ACE but with 165 gwt SHELVED by industry agreement, providing 510 gwt of ACE for fishing during 2013-14.
- ORH2B TACC remains the same at 140 gwt but with 34 gwt SHELVED by industry agreement, providing 106 gwt of ACE for fishing during 2013-14

- ORH3A TACC remains the same at 415 gwt but with 101 gwt SHELVED by industry agreement, providing 314 gwt of ACE for fishing during 2013-14
- The above shelving has been undertaken through authorisations lodged with Fishserve.

### 3. ORH3B

- The ORH3B TACC has been increased to 4,500 gwt. This is apportioned amongst the Designated Sub-areas as follows:
  - ORH3B East & South Chatham Rise: catch limit of 3,100 gwt;
  - ORH3B Northwest Chatham Rise: catch limit of 750 gwt. The principal quota-owners have advised DWG that they will set their ACE aside until after consideration of the 2014 stock assessment results (i.e. April 2014);
  - ORH3B Puysegur: catch limit of 150 gwt. Quota owners have agreed to set this aside for a research survey in 2014;
  - ORH3B Sub-Antarctic catch limit of 500 gwt; and
  - ORH3B Research: the former provision of 250 gwt has been removed from the TACC and no longer exists. Any agreed research can only be undertaken using commercial ACE or by provision of a special permit.
- In summary, of the ORH3B ACE available, that apportioned to ORH3B East & South Chatham Rise and ORH3B Sub-Antarctic may be traded and fished. For ORH3B North West Chatham Rise, quota-owners are requested to conform with the major owners' decision to delay accessing this fishery until after consideration of the 2014 stock assessment results (this will be available in time for this area to be fished next winter).

### 4. OEO1 and OEO3A

- OEO1 and OEO3A TACCs and catch limits by sub-area or species remain the same as last year, i.e.:
  - OEO1 Southland SSO catch limit remains the same at 400 gwt;
  - OEO3A SSO catch limit remains the same at 1,650 gwt;
  - OEO3A BOE catch limit remains the same at 1,700 gwt; and
  - OEO3A SOR catch limit is included under BOE (above).

Please ensure adherence to these agreements in both ACE transactions and fishing operations.

#### In summary:

- ORH1 Management Deed is available for any operator or ACE holder that may need to reference the management conditions agreed for that fishery
- ORH MEC is undergoing shelving on the same basis as last year
- No target fishing for orange roughy or oreo within the Puysegur Designated Sub-area without prior written approval from DWG
- Quota/ACE owners are requested to refrain from fishing in ORH3B NWCR until after the stock assessment results have been considered
- There is no longer any specific allowance for 'Research ACE' available in ORH3B.

Any queries to myself thanks.

Regards



Richard Wells  
Fisheries Specialist



# OPERATIONAL PROCEDURES ORANGE ROUGHY AND OREO FISHERIES

## Schedule 1: Quota Ownership and Sub-Area Catch Limits for the 2013-14 Fishing Year

**Table 1:** ORH2A and ORH3B Sub-Area Catch Limits for 2013-14 Fishing Year in Green Weight Tonnes (gwt)

Fish Stock	Sub-Area	Catch Limits (gwt)
<b>ORH2A</b>	North (north of 38°23'S)	200 t
	South (south of 38°23'S)	675 t
<b>ORH3B</b>	North West Chatham Rise	750 t
	East & South Chatham Rise	1950 t
	Sub-Antarctic	500 t
	Puysegur (150 t set aside) *	0 t

\* ORH3B Puysegur ACE set aside for agreed research only by shareholder agreement for 2013-14.

**Table 2:** OEO1 Southland Sub-Area SSO and OEO3A QMA BOE SOR and SSO Catch Limits for 2013-14 Fishing Year in Green Weight Tonnes (gwt)

Fish Stock	Sub-Area	Catch Limits (gwt)
<b>OEO1 Southland</b>	Smooth (SSO)	400 t
<b>OEO3A</b>	Smooth (SSO)	1,650 t (49.25%)
	Black (BOE)	1,700 t (50.75%)
	Spiky (SOR)	Included under BOE

**Table 3:** ORH Mid East Coast (MEC) Shelving Agreement for 2013-14 fishing year

Sub-Area	Catch Limit or TACC 2013/14	ACE (gwt) Shelved 2013/14	ACE (gwt) Available 2013/14
<b>ORH2A South</b>	675	165	510
<b>ORH2B</b>	140	34	106
<b>ORH3A</b>	415	101	314
<b>Total: ORHMEC</b>	<b>1230</b>	<b>300</b>	<b>930</b>

# OPERATIONAL PROCEDURES ORANGE ROUGHY AND OREO FISHERIES

## Schedule 1: Quota Ownership and Sub-Area Catch Limits for the 2013-14 Fishing Year

**Table 4:** ORH3B Quota Owners, TACC and Catch Limits (green weight kg) by Sub-Area for 2013-14 Fishing Year

ORH3B					
As of 1 October 2013	TACC (kg)	NWCR Catch Limit	ESCR Catch Limit	Sub-Antarctic Catch Limit	Puysegur Catch Limit
<b>Deepwater Group Ltd Shareholders</b>	<b>4,500,000</b>	<b>750,000</b>	<b>3,100,000</b>	<b>500,000</b>	<b>150,000</b>
Ati Awa Ki Whakarongotai Holdings Limited	153	26	105	17	5
KPF Investments Limited	84,168	14,028	57,982	9,352	2,806
Ngai Tahu Fisheries Settlement Limited	40,873	6,812	28,157	4,541	1,362
Ngai Te Rangi Fisheries AHC Limited	3,235	539	2,229	359	108
Ngati Mutunga O Wharekauri Asset Holding Company Limited	63,408	10,568	43,681	7,045	2,114
Ngati Porou Seafoods Limited	19,689	3,282	13,564	2,188	656
Ngati Ranginui Fisheries Holding Company Ltd	2,052	342	1,414	228	68
Ngati Rarua Asset Holding Company Limited	249	42	172	28	8
Ngati Ruanui Fishing Limited	1,756	293	1,210	195	59
Pupuri Taonga Limited	1,781,283	296,881	1,227,106	197,920	59,376
Rongowhakaata Iwi Asset Holding Company Limited	1,154	192	795	128	38
Sanford Limited	1,564,676	260,779	1,077,888	173,853	52,156
Talley's Group Management Limited	443,266	73,878	305,361	49,252	14,776
Te Aitanga A Mahaki Trust Asset Holding Company Limited	1,393	232	960	155	46
Te Kupenga o Maniapoto Limited	9,550	1,592	6,579	1,061	318
Te Ohu Kai Moana Trustee Limited	3,502	584	2,412	389	117
Vela Quota Number One Limited	262,258	43,710	180,667	29,140	8,742
Whaingaroa Fisheries Company Limited	631	105	435	70	21
<b>DWG Sub-totals</b>	<b>4,283,296</b>	<b>713,883</b>	<b>2,950,715</b>	<b>475,922</b>	<b>142,777</b>
Non-shareholders Sub-totals	216,704	36,117	149,285	24,078	7,223
<b>Totals</b>	<b>4,500,000</b>	<b>750,000</b>	<b>3,100,000</b>	<b>500,000</b>	<b>150,000</b>

Note: ORH 3B Puysegur is set aside for DWG agreed research.

# OPERATIONAL PROCEDURES ORANGE ROUGHY AND OREO FISHERIES

## Schedule 1: Quota Ownership and Sub-Area Catch Limits for the 2013-14 Fishing Year

**Table 5:** ORH2A Quota Owners, TACC and Catch Limits (green weight kg) by Sub-Area for 2013-14 Fishing Year

ORH2A			
As of 1 October 2013	TACC (kg)	North Catch Limit*	South Catch Limit*
<b>Deepwater Group Ltd Shareholders</b>	<b>875,000</b>	<b>200,000</b>	<b>510,000</b>
Aotearoa Fisheries Limited	207,602	47,452	121,002
Ati Awa Ki Whakarongotai Holdings Limited	48	11	28
Esperance Fishing Co Limited	13,127	3,000	7,651
Gisborne Fisheries 1955 Limited	46,176	10,555	26,914
Ngai Tahu Fisheries Settlement Limited	4,010	917	2,337
Ngai Te Rangi Fisheries AHC Limited	1,010	231	589
Ngati Mutunga O Wharekauri Asset Holding Company Limited	109	25	64
Ngati Porou Seafoods Limited	6,147	1,405	3,583
Ngati Ranginui Fisheries Holding Company Ltd	641	147	374
Ngati Rarua Asset Holding Company Limited	78	18	45
Ngati Ruanui Fishing Limited	548	125	319
Pupuri Taonga Limited	230,410	52,665	134,296
Rongowhakaata Iwi Asset Holding Company Limited	360	82	210
Sanford Limited	231,942	53,015	135,189
Talley's Group Management Limited	31,269	7,147	18,225
Te Aitanga A Mahaki Trust Asset Holding Company Limited	435	99	254
Te Kupenga o Maniapoto Limited	2,982	682	1,738
Te Ohu Kai Moana Trustee Limited	9,645	2,205	5,622
Vela Quota Number One Limited	11,026	2,520	6,427
Whakatohea Fisheries Asset Holding Company Limited	977	223	569
<b>DWG Sub-totals</b>	<b>798,542</b>	<b>182,524</b>	<b>465,436</b>
Non-shareholders Sub-totals	76,458	17,476	44,564
<b>Totals</b>	<b>875,000</b>	<b>200,000</b>	<b>510,000</b>

\* Note: 165 gwt of ORH2A South ACE (from the 675 gwt TACC) has been *shelved*. Therefore, 510 gwt is available as ACE for the 2013-14 fishing year (refer Table 3).

# OPERATIONAL PROCEDURES ORANGE ROUGHY AND OREO FISHERIES

## Schedule 1: Quota Ownership, Sub-Area and Species Catch Limits for the 2013-14 Fishing Year

**Table 6:** OEO1 and OEO3A Quota Owners, TACCs and Catch Limits (green weight kg) by Sub-Area and Species for 2011-12 Fishing Year

OEO1 & OEO3A				
As of 1 October 2013	OEO1 TACC (kg)	OEO3A TACC (kg)	BOE3A ACE (kg)	SSO3A ACE (kg)
<b>Deepwater Group Ltd Shareholders</b>	<b>2,500,000</b>	<b>3,350,000</b>	<b>1,700,000</b>	<b>1,650,000</b>
Aotearoa Fisheries Limited	2,482	5,801	2,944	2,857
Ati Awa Ki Whakarongotai Holdings Limited	135	182	92	90
Esperance Fishing Co Limited	5,464	-	-	-
Gisborne Fisheries 1955 Limited	348	-	-	-
Independent Fisheries Holdings Limited	19,553	-	-	-
KPF Investments Limited	197,805	87,181	44,241	42,940
McDonald & Brown Limited	1,290	4,143	2,102	2,041
Ngai Tahu Fisheries Settlement Limited	23,610	58,152	29,510	28,642
Ngai Tahu Seafood Resources Limited	370	994	504	490
Ngai Te Rangi Fisheries AHC Limited	3,533	3,866	1,962	1,904
Ngati Mutunga O Wharekauri Asset Holding Company Limited	2,349	419	213	206
Ngati Porou Seafoods Limited	1,365	23,533	11,942	11,591
Ngati Porou Seafoods Limited	17,371	-	-	-
Ngati Ranginui Fisheries Holding Company Ltd	2,195	2,453	1,245	1,208
Ngati Rarua Asset Holding Company Limited	844	1,185	601	584
Ngati Ruanui Fishing Limited	1,902	2,099	1,065	1,034
Ocean Products Limited	18,514	32,486	16,485	16,001
Pupuri Taonga Limited	869,213	1,041,460	528,502	512,958
Rongowhakaata Iwi Asset Holding Company Limited	1,018	1,379	700	679
Sanford Limited	536,127	1,129,577	573,218	556,359
Talley's Group Management Limited	435,155	625,183	317,257	307,926
Te Aitanga A Mahaki Trust Asset Holding Company Limited	1,229	1,665	845	820
Te Kupenga o Maniapoto Limited	9,279	11,415	5,793	5,622
Te Ohu Kai Moana Trustee Limited	20,397	35,477	18,003	17,474
Vela Quota Number One Limited	145,437	88,175	44,746	43,429
Whaingaroa Fisheries Company Limited	1,336	755	383	372
<b>DWG Sub-totals</b>	<b>2,318,321</b>	<b>3,157,580</b>	<b>1,602,354</b>	<b>1,555,226</b>
Non-shareholders Sub-totals	181,679	192,420	97,646	94,774
<b>Totals</b>	<b>2,500,000</b>	<b>3,350,000</b>	<b>1,700,000</b>	<b>1,650,000</b>

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# OPERATIONAL PROCEDURES REPORTING GUIDE

## Part 1: Introduction

The following Operational Procedures (OPs) stipulate the requirements for catch reporting to Deepwater Group Ltd (DWG) as agreed by quota owners.

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<b>Purpose of these Procedures</b>	<p>These OPs have been established so that agreed reporting requirements are clearly communicated to, and understood by vessel captains, vessel managers and quota managers.</p> <p>These OPs are laid out as follows:</p> <ul style="list-style-type: none"><li>● Part 1 – Overview</li><li>● Part 2 – Hoki (HMA Notifications via DWG)</li><li>● Part 3 – Orange roughy and oreo (catch limit reports via FishServe)</li><li>● Part 4 – Squid (SQU 6T Tow reports via FishServe)</li><li>● Part 5 – Generic reporting requirements.</li></ul>
<b>DWG Reporting Requirements</b>	<p>Fishery-specific catch reporting requirements via FishServe portal:</p> <ul style="list-style-type: none"><li>● Sub-area catch reporting (ORH1, ORH2A, ORH3B, OEO1).</li><li>● Catch reporting by separate species (OEO1, OEO3A).</li></ul> <p>Generic reporting requirements direct to DWG in regard to:</p> <ul style="list-style-type: none"><li>● Notification of entry to and exit from Hoki Management Areas (see Operational Procedures for the hoki fisheries).</li><li>● Trigger points – Refer to the Marine Mammals OP (MMOP) and Vessel Management Plan (VMP) for these trigger points in relation to marine mammal and seabird captures.</li></ul> <p><i>Note: That reporting frequencies are fisheries-specific and may vary by fishery.</i></p>
<b>Ministry Reporting Requirements</b>	<ul style="list-style-type: none"><li>● You are obliged by law to report to the Ministry any captures of protected species on the prescribed Non-fish / Protected Species Catch Return.</li></ul>
<b>Submission of Reports</b>	<p>All reports submitted to DWG should be via:</p> <ul style="list-style-type: none"><li>● Email – <a href="mailto:admin@deepwatergroup.org">admin@deepwatergroup.org</a></li><li>● All catch limit and SQU 6T tow reports via FishServe (<a href="http://www.fishserve.co.nz">www.fishserve.co.nz</a>) Stakeholder page.</li></ul>
<b>Queries</b>	<p>For clarification or for additional information on reporting protocols or management area issues please contact the following:</p> <ul style="list-style-type: none"><li>● <i>HOK, SQU, ORH &amp; OEO – Richard Wells</i><ul style="list-style-type: none"><li>– Email: <a href="mailto:richard@resourcewise.co.nz">richard@resourcewise.co.nz</a></li><li>– Cell: (021) 457 123 (anytime)</li></ul></li><li>● <i>Marine Mammals &amp; Seabirds – John Cleal (or Richard Wells, as above)</i><ul style="list-style-type: none"><li>– Email: <a href="mailto:john.fvms@xtra.co.nz">john.fvms@xtra.co.nz</a></li><li>– Cell: (021) 305 825 (anytime).</li></ul></li></ul>

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# OPERATIONAL PROCEDURES REPORTING GUIDE

## Part 2: Reporting Protocols – HOK1

The following outlines the reporting requirements for HOK1.

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### **Hoki Management Area Reporting** (non-hoki target in a Hoki Management Area)

No hoki target fishing is permitted within a Hoki Management Area (HMA) (refer to maps in Operational Procedures for Hoki Fisheries).

Any vessel operator intending to target species other than hoki with a trawler >28 m (LOA) within a HMA shall:

- Notify DWG of their intention to fish in a HMA
- Notify DWG on time and date of entry to and exit from the HMA
- Advise if a Ministry observer is on-board

Any vessel operator intending to target species other than hoki with a trawler >28 m (LOA) within a HMA and **not** carrying a Ministry observer shall:

- On direct request from DWG, provide copies of TCEPRs to DWG.
-

# OPERATIONAL PROCEDURES REPORTING GUIDE

## Part 3: Reporting Protocols – ORH and OEO

The following outlines the reporting requirements for ORH and OEO. Note this reporting is now accessed and entered via the FishServe website ([www.fishserve.co.nz](http://www.fishserve.co.nz)) Stakeholder page.

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**ORH Catch Reporting by Sub-Area** 48 hour landing reports of catches from designated sub-areas for:

- ORH 1
  - Area A
  - Area B
  - Area C
  - Area D
  - And Mercury/Collville Box.

Monthly reporting of catches from designated sub-areas for:

- ORH 1
  - Area A
  - Area B
  - Area C
  - Area D
  - And Mercury/Collville Box.

- ORH2A
  - North
  - South.

- ORH3B
  - Northwest Chatham Rise
  - East & South Chatham Rise
  - Sub-Antarctic.

Note: For boundaries of these agreed catch limit sub-areas, please refer to Figure 1, Operational Procedures for the Orange Roughy and Oreo Fisheries or, in the case of ORH1, the appendices of the ORH1 Management Deed.

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**OEO Catch Reporting by Species** Monthly reporting of catches by species of oreo from:

- OEO1 Southland sub-area
  - SSO and BOE/SOR
- OEO3A
  - SSO and BOE/SOR

Note: For boundaries of these FMA sub-areas, please refer to Figure 2, Operational Procedures for the Orange Roughy and Oreo Fisheries.

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**Reporting Deadlines** Monthly reports are required on the day of furnishing MHRs to FishServe.

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# OPERATIONAL PROCEDURES REPORTING GUIDE

## Part 4: Reporting Protocols –SQU6T

The following outlines the reporting requirements for SQU6T.

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### Pre-Season Reporting SQU6T

The Vessel Management Plan (VMP) internal review form should be completed before 30 September each year.

The SLED check sheet is required from all vessels by 31 November (to be eligible for tow discount).

*Note: All vessels are required to give a pre-sailing departure notice to the Ministry (FCC) at least 72 hours before sailing to SQU 6T. Refer to MPI Operational Plan for SQU 6T 2012-16.*

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### In-Season Tow Reporting

All vessels must report all SQU 6T tows to the vessel's shore manager for forwarding:

- Once a week (to FishServe by 17:00 hours every Monday)
- Daily, only once required under the Ministry's Operational Plan when approaching the Tow Limit.

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### What to do if trigger point reached?

When a trigger point is reached, as outlined in the Marine Mammal Operational Procedure (MMOP) and VMP, the Captain is required to report any breaches of trigger points within 24 hours to shore management. Shore management will then promptly contact DWG for advice and support.

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# OPERATIONAL PROCEDURES

## REPORTING GUIDE

### Part 5: Incidental Capture Reporting Protocols – Trawlers >28m

The following outlines the reporting requirements for incidental captures by trawlers over 28 metres.

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#### VMP Trigger Points - Seabirds

As required by the seabird triggers in the VMP, all trawlers over 28 m (LOA) must:

- Report to DWG (cc J Cleal, R Wells), when the following instances of **dead** seabirds occur :
  - 3 or more large seabirds (e.g. albatrosses) are captured in a 24-hour period
  - 5 or more small seabirds (e.g. petrels) are captured in a 24-hour period.
  - 10 or more seabirds are captured in a 7-day period.
- Return a VMP Review Form to DWG by 30 September each year.

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#### MMOP and Shark Trigger Points – Marine Mammals and Basking Sharks

All trawlers over 28 m (LOA) must report to DWG ( cc J Cleal, R Wells):

- All and any sea lion captures:
  - Notification to DWG via shore manager
  - Completion of Sea Lion Capture Questionnaire.
- Fur seal captures – if 2 or more in 24 hours; 5 or more in a 7-day period:
  - Notification to DWG via shore manager.
- All and any dolphin captures:
  - Notification to DWG via shore manager.
- All and any basking shark captures:
  - Notification to DWG via shore manager.

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#### Mandatory Non-fish / Protected Species Catch Return

As required by Fisheries Regulations, all vessels are mandatorily required to always complete and furnish to the Ministry a Non-fish / Protected Species Catch Return when any relevant species are captured.

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