

MSC ASSESSMENT OF NEW ZEALAND LING FISHERIES

1 SEPTEMBER 2013

1. MSC Assessment of New Zealand Ling Fisheries

Ling (Genypterus blacodes)

Fisheries:

- Trawl: LIN2, LIN3, LIN4, LIN5, LIN6, & LIN7
- Longline: LIN2, LIN3, LIN4, LIN5, LIN6, & LIN7



Princ	Principle 1:			
P.I.	80 Scoring Guidelines	Fishery	References	
		LIN2	 MPI (2013) Fisheries Assessment Plenary May. Stock Assessments and Yield Estimates. Volume 2 - Ling Chapter pp 544 -571 Horn, P.L.; Francis, R.I.C.C. (2013). Stock assessment of ling (Genypterus blacodes) in Cook Strait for the 2010–11 fishing year. New Zealand Fisheries Assessment Report 2013/7. 35 p. 	
		LIN3	 MPI (2013) Fisheries Assessment Plenary May. Stock Assessments and Yield Estimates. Volume 2 - Ling Chapter pp 544 -571 Horn, P.L.; Dunn, M.R.; Ballara, S.L. (2013). Stock assessment of ling (Genypterus blacodes) on the Chatham Rise (LIN 3&4) and in the Sub-Antarctic (LIN 5&6) for the 2011–12 fishing year. New Zealand Fisheries Assessment Report 2013/6. 87 p. 	
1.1.1	Stock Status - Stock at a level which maintains high productivity	LIN4	 MPI (2013) Fisheries Assessment Plenary May. Stock Assessments and Yield Estimates. Volume 2 - Ling Chapter pp 544 -571 Horn, P.L.; Dunn, M.R.; Ballara, S.L. (2013). Stock assessment of ling (Genypterus blacodes) on the Chatham Rise (LIN 3&4) and in the Sub-Antarctic (LIN 5&6) for the 2011–12 fishing year. New Zealand Fisheries Assessment Report 2013/6. 87 p. 	
		LIN5	 MPI (2013) Fisheries Assessment Plenary May. Stock Assessments and Yield Estimates. Volume 2 - Ling Chapter pp 544 -571 Horn, P.L.; Dunn, M.R.; Ballara, S.L. (2013). Stock assessment of ling (Genypterus blacodes) on the Chatham Rise (LIN 3&4) and in the Sub-Antarctic (LIN 5&6) for the 2011–12 fishing year. New Zealand Fisheries Assessment Report 2013/6. 87 p. 	
		LIN6	 MPI (2013) Fisheries Assessment Plenary May. Stock Assessments and Yield Estimates. Volume 2 - Ling Chapter pp 544 -571 Horn, P.L.; Dunn, M.R.; Ballara, S.L. (2013). Stock assessment of ling (Genypterus blacodes) on the Chatham Rise (LIN 3&4) and in the Sub-Antarctic (LIN 5&6) for the 2011 12 fishing year. New Zealand Eisheries Assessment 	

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 MPI (2013) Review of Management Controls for LIN 7. July 2013 (IPP) MPI (2011) Operational Guidelines for New Zealand's Harvest Strategy Standard (Review). Ministry of Fisheries. June 2011. 80p MPI (2010) National Fishing Plan Deepwater and Middle-depth Fisheries Part 1B - Ling. Ministry for Primary Industries MPI (2008) Harvest Strategy Standard for New Zealand Fisheries. Ministry of Fisheries. October 2008. 30p MPI (2007) Operational Guidelines for New Zealand's Harvest Strategy Standard. Ministry of Fisheries. December 2007. 68p
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MPI (2012) Annual Operational Plan for Deepwater Fisheries 2012-13. July 2012. 71p
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		 MPI (2013) Review of Management Controls for LIN 7. July 2013 (IPP) MPI (2013) Annual Review Report: Deepwater Fisheries 2011-12. June 2013. 78p MPI (2012) Annual Operational Plan for Deepwater Fisheries 2012-13. July 2012. 71p MPI (2011) Annual Operational Plan for Deepwater Fisheries 2011-12. July 2011. 75p MPI (2011) Research and Science Information Standard for the New Zealand Fisheries. Ministry of Fisheries. October 2008. 30p MPI (2011) Operational Guidelines for New Zealand's Harvest Strategy Standard. Ministry of Fisheries. June 2011. 80p MPI (2011) Science Working Groups - Terms of Reference. 8p MPI (2010) National Fishing Plan Deepwater and Middledepth Fisheries Part 1A. Ministry for Primary Industries MPI (2013) National Fishing Plan Deepwater and Middledepth Fisheries Part 1B - Ling. Ministry for Primary Industries. MPI (2010) 10 Year Research Programme for Deepwater Fisheries. Ministry of Fisheries. 148p Stevens, D.; O'Driscoll, R., Dunn, M.R, Ballara, S., & Horn,
		P. (2012). Trawl survey of hoki and middle depth species on the Chatham Rise, January 2011 (TAN1101). New Zealand Fisheries Assessment Report 2012/10. 98 p
1.2.4	Assessment of Stock Status - Assessment of stock status is adequate	 MPI (2013) Fisheries Assessment Plenary May. Stock Assessments and Yield Estimates. Volume 2 - Ling Chapter pp 544 -571 Horn, P.L.; Francis, R.I.C.C. (2013). Stock assessment of ling (Genypterus blacodes) in Cook Strait for the 2010–11 fishing year. New Zealand Fisheries Assessment Report 2013/7. 35 p. Horn, P.L.; Dunn, M.R.; Ballara, S.L. (2013). Stock assessment of ling (Genypterus blacodes) on the Chatham Rise (LIN 3&4) and in the Sub-Antarctic (LIN 5&6) for the 2011–12 fishing year. New Zealand Fisheries Assessment Report 2013/6. 87 p

Princ	Principle 2:				
P.I.	80 Scoring Guidelines	Score	Rationale		
2.1.1	Retained Species Outcome - Does not cause serious or irreversible harm to retained species.	ALL	 DWG & MPI (2013) Hake and Ling Fishery Observer Programme Report. Unpublished Report held by DWG Sep 2013. Akroyd, J., Pierre, J. & Punt, A. (2012) NZ Hoki Fisheries: 2nd [MSC] Reassessment: Public Certification Report, Version 5. September 2012. Ref: MML-F-030. Intertek Moody Marine. 297p. Bagley, N. & O'Driscoll, R. (2012). Trawl survey of middle depth species in the Southland and Sub-Antarctic areas, November–December 2009 (TAN0911). New Zealand Fisheries Assessment Report 2012/05. 70p. MPI (2013) Fisheries Assessment Plenary May. Stock Assessments and Yield Estimates. Volume 1: Introduction to Jack Mackerel. Ministry for Primary Industries. May 2013. Volume 2: John Dory to Red Gurnard. Ministry for Primary Industries. May 2013. Volume 3: Red Snapper to Yellow eyed Mullet. 		

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2.1.2	Retained Species Management - Strategy in place for managing retained species	ALL	 MPI (2013) National Fishing Plan Deepwater and Middle-depth Fisheries Part 1B - Ling. Ministry for Primary Industries. MPI (2013) Fisheries Assessment Plenary May. Stock Assessments and Yield Estimates. Volume 1: Introduction to Jack Mackerel. Ministry for Primary Industries. May 2013. Volume 2: John Dory to Red Gurnard. Ministry for Primary Industries. May 2013. Volume 3: Red Snapper to Yellow eyed Mullet. Ministry for Primary Industries. May 2013. MPI (2008) Harvest Strategy Standard for New Zealand Fisheries. Ministry of Fisheries. October 2008. 30p MPI (2013) Annual Review Report. Deepwater Fisheries 2011-12. June 2013. 78p MPI (2012) Annual Operational Plan for Deepwater Fisheries 2012-13. July 2012. 71p MPI (2011) Annual Operational Plan for Deepwater Fisheries 2011-12. July 2011. 75p
2.1.3	Retained Species Information - Relevant information to help manage retained species	ALL	 Akroyd, J., Pierre, J. & Punt, A. (2012) NZ Hoki Fisheries: 2nd [MSC] Reassessment: Public Certification Report, Version 5. September 2012. Ref: MML-F-030. Intertek Moody Marine. 297p Bagley, N & O'Driscoll, R. (2012). Trawl survey of middle depth species in the Southland and Sub-Antarctic areas, November—December 2009 (TAN0911). New Zealand Fisheries Assessment Report 2012/05. 70p. Ballara, S., O'Driscoll, R., & Anderson, O (2010). Fish discards and non-target fish catch in the trawl fisheries for hoki, hake, and ling in New Zealand waters. New Zealand Aquatic Environment and Biodiversity Report No. 48. 100p MPI (2013) Fisheries Assessment Plenary May. Stock Assessments and Yield Estimates. Volume 1: Introduction to Jack Mackerel. Ministry for Primary Industries. May 2013. Volume 2: John Dory to Red Gurnard. Ministry for Primary Industries. May 2013. Volume 3: Red Snapper to Yellow eyed Mullet. Ministry for Primary Industries. May 2013. O'Driscoll et al. (2011) A review of hoki and middle-depth trawl surveys of the Chatham Rise 1992-2010 –New Zealand Fisheries Assessment Report 2011/47 MPI. (2010) National Fisheries Plan for Deepwater and Middle-depth Fisheries. Part 1A MPI (2013) National Fishing Plan Deepwater and Middle-depth Fisheries Part 1B - Ling. Ministry for Primary Industries.

			 Stevens, D., O'Driscoll, R., Dunn, M., Ballara, S., & Horn, P. (2012). Trawl survey of hoki and middle depth species on the Chatham Rise, January 2011 (TAN1101). New Zealand Fisheries Assessment Report 2012/10. 98 p Stevens, D., O'Driscoll, R., Dunn, M., McGibbon, D., Horn, P. & Gautier, S (2011). Trawl survey of hoki and middle depth species on the Chatham Rise, January 2010 (TAN1001). New Zealand Fisheries Assessment Report 2011/10. 112 p
2.2.1	By-catch Species Outcome - Does not cause serious or irreversible harm to by-catch species	ALL	 DWG & MPI (2013) Hake and Ling Fishery Observer Programme Report. Unpublished Report held by DWG Sep 2013. Bagley, N. & O'Driscoll, R. (2012). Trawl survey of middle depth species in the Southland and Sub-Antarctic areas, November–December 2009 (TAN0911). New Zealand Fisheries Assessment Report 2012/05. 70p. Ballara, S., O'Driscoll, R., & Anderson, O (2010). Fish discards and non-target fish catch in the trawl fisheries for hoki, hake, and ling in New Zealand waters. New Zealand Aquatic Environment and Biodiversity Report No. 48. 100p MPI (2013) Fisheries Assessment Plenary May. Stock Assessments and Yield Estimates. Volume 1: Introduction to Jack Mackerel. Ministry for Primary Industries. May 2013. Volume 2: John Dory to Red Gurnard. Ministry for Primary Industries. May 2013. Volume 3: Red Snapper to Yellow eyed Mullet. Ministry for Primary Industries. May 2013. O'Driscoll et al. (2011) A review of hoki and middle-depth trawl surveys of the Chatham Rise 1992-2010 –New Zealand Fisheries Assessment Report 2011/47 Stevens, D., O'Driscoll, R., Dunn, M., Ballara, S., & Horn, P. (2012). Trawl survey of hoki and middle depth species on the Chatham Rise, January 2011 (TAN1101). New Zealand Fisheries Assessment Report 2012/10. 98 p Stevens, D., O'Driscoll, R., Dunn, M., McGibbon, D., Horn, P. & Gautier, S (2011). Trawl survey of hoki and middle depth species on the Chatham Rise, January 2010 (TAN1001). New Zealand Fisheries Assessment Report 2011/10. 112 p Stevens et al (2010) Age, growth, and maturity of four New Zealand rattail species. New Zealand Aquatic Environment and Biodiversity Report No. 59. 39p.
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2.2.3	By-catch Species Information - Relevant information to help manage by-catch species	ALL	 DWG & MPI (2013) Hake and Ling Fishery Observer Programme Report. Unpublished Report held by DWG Sep 2013. Bagley, N & O'Driscoll, R. (2012). Trawl survey of middle depth species in the Southland and Sub-Antarctic areas,

November-December 2009 (TAN0911). New Zealand Fisherbeia Assessment Report 2012/05. 70p. Ballara, S., O'Driscoll, R., & Anderson, O (2010) Fish discards and non-integral fish catch in the trawl fisherines for hoki, hake, and ling in New Zealand waters. New Zealand Aquatic Environment and Biodiversity Report No. 48, 1909. MPI (2013) Fisherize Assessment Plenary May, Stock Assessment and Sealand Stephens, Report No. 149, 1909. MPI (2015) Fisherize Assessment Plenary May, Stock Assessment and Sealand Stephens, Report No. 149, 1909. Primary Industries. May 2013. • Volume 2: Abon Dony to Red Gurrand, Ministry for Primary Industries. May 2013. • Volume 3: Abon Dony to Red Gurrand, Ministry for Primary Industries. May 2013. • Volume 3: Abon Dony to Red Gurrand, Ministry for Primary Industries. May 2013. • Volume 3: Abon Bong to Yellow eyed Mullet. Ministry for Primary Industries. May 2013. • Volume 3: Abon Bong to Yellow eyed Mullet. Ministry for Primary Industries. May 2013. • O'Dissoull et al. (2011) In avelieve of hold and middle-depth Itawi sources of the Challann Rice 1982; 2010 – FAR 47 o'Dissoull et al. (2011) In avelieve of hold and middle-depth Itawi sources of the Challann Rice 1982; 2010 – FAR 47 o'Dissoull Rice 1982; 20	Fisheries Assessment Report 2012/05. Top. Ballara, S., O'Driscoll, R., & Andreson, O. (2010) Fish discards and non-target fish catch in the trawl fisheries for hold, hake, and flig in New Zealand waters. New Zealand Aquatic Environment and Biodiversity Report No. 48. 1009. MPI (2013) Fisheries Assessment Plenary Mys. Stock Assessmants and Yold Estimatus. O'Notime 2. John Dony to Red Gumand, Ministry for Primary Industries. May 2013. Volume 3. Hondon, Primary Industries, May 2013. Volume 3. Rod Snapper to Yollow eyed Mullot. Ministry for Primary Industries. May 2013. O'Notime 2. John Dony to Red Gumand, Ministry for Primary Industries. May 2013. Volume 3. Rod Snapper to Yollow eyed Mullot. Ministry for Primary Industries. May 2013. O'Driscoll et al. (2011) A review of hold and middle-depth trawl surveys of the Onaham Rise 1992-2010. FAR 47 Ramm. (2011). Conservation Services Programme Observer Report. Et al. July 2009 to 30 June 2010. Marine Conservation Services. Department of Conservation. Ramm. (2010). Conservation Services Programme Marine Conservation Services. Department of Conservation. Stevens, D., O'Driscoll, R., Dunn, M., Ballara, S., & Horn, P. (2012). Trawl survey of hold and middle depth species on the Chatham Rise, January 2011 (TAN1011). New Zealand Fisheries Assessment Report 2012/10, 98 p. Stevens, D., O'Driscoll, R., Dunn, M., McGibbon, D., Horn, P. & Gautter, S. (2011). Trawl survey of hold and middle depth species on the Chatham Rise, January 2010 (TAN1001). New Zealand Fisheries Assessment Report 2012/10, 100 (TAN1001). New Zealand Fisheries Assessment Report 2012/10, 100 (TAN1001). New Zealand Fisheries Assessment Report 2012/10, 100 (TAN1001). New Zealand Fisheries Assessment Report 2012/10, 112 (Tan1001). New Zealand September 2012. Ref. MML-1-400. Instetck and the Conservation. Sp. Prancis, M. & Zealand Adjustice Assessment Report 2012. Ref. MML-1-400. Instetck and the Conservation. Sp. Prancis, R. (2012). Distribution of Protected Conservation. Polycatch of Department of Con			
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2.3.3	ETP Species Information - Relevant information to support management of impacts on EPTs	ALL	 DWG & MPI (2013) Hake and Ling Fishery Observer Programme Report. Unpublished Report held by DWG Sep 2013. Dragonfly (2013) Protected Species Webpage printouts for Interaction with Hake Fisheries. Sep 2013 Akroyd, J., Pierre, J. & Punt, A. (2012) NZ Hoki Fisheries: 2nd [MSC] Reassessment: Public Certification Report, Version 5. September 2012. Ref: MML-F-030. Intertek Moody Marine. 297p Baird et al (2012) Distribution of Protected Corals in New Zealand. Department of Conservation. 95p DOC (2013) Conservation Services Programme Annual Plan 2013/14. Dept. of Conservation
2.4.1	Habitats Outcome - The fishery does not cause serious or irreversible harm to habitat structure, considered on a regional or bioregional basis and function	ALL	 Black, J. (2013) Hake & Ling Trawl Footprint Analyses. Letter Report for Deepwater Group. GNS Science Akroyd, J., Pierre, J. & Punt, A. (2012) NZ Hoki Fisheries: 2nd [MSC] Reassessment: Public Certification Report, Version 5. September 2012. Ref: MML-F-030. Intertek Moody Marine. 297p Baird et al (2012) Distribution of Protected Corals in New Zealand. Department of Conservation. 95p Black, J, Wood, R, Berthelsen, T & Tilney R (2013) Monitoring New Zealand's trawl footprint for deepwater

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2.4.2	Habitats Management - Information is adequate to determine risk of serious or irreversible harm to habitat types	ALL	 Black, J. (2013) Hake and Ling Trawl Footprint Analyses. Letter Report for Deepwater Group. GNS Science Black, J, Wood, R, Berthelsen, T & Tilney R (2013) Monitoring New Zealand's trawl footprint for deepwater fisheries: 1989–90 to 2009–10. New Zealand Aquatic Environment and Biodiversity Report No. 110 DOC (2013) Conservation Services Programme Annual Plan 2013/14. Dept. of Conservation Fisheries (Benthic Protection Areas) Regulations 2007 (SR 2007/308) MPI (2013) Annual Review Report. Deepwater Fisheries 2011-12. June 2013. 78p MPI (2012) Annual Operational Plan for Deepwater Fisheries 2012-13. July 2012. 71p MPI. (2010) National Fisheries Plan for Deepwater and Middle-depth Fisheries. Part 1A MPI. (2010) National Fisheries Plan for Deepwater and Middle-depth Fisheries. Part 1B Ling Fisheries.
2.4.3	Habitats Information - Information is adequate to determine the risk posed to habitat types by the fishery and the effectiveness of the strategy to manage impacts on habitat type.	ALL	 Black, J. (2013) Hake Trawl Footprint Analyses. Letter Report for Deepwater Group. GNS Science Akroyd, J., Pierre, J. & Punt, A. (2012) NZ Hoki Fisheries: 2nd [MSC] Reassessment: Public Certification Report, Version 5. September 2012. Ref: MML-F-030. Intertek Moody Marine. 297p Black, J, Wood, R, Berthelsen, T & Tilney R (2013) Monitoring New Zealand's trawl footprint for deepwater fisheries: 1989–90 to 2009–10. New Zealand Aquatic Environment and Biodiversity Report No. 110 MPI (2012) Aquatic Environment and Biodiversity Annual Review. 390p (see Benthic Impacts pp 159-186) Tracey et al. (2011) Identification of Protected Corals: distribution in relation to fishing effort and accuracy of observer identifications. Draft Final Report prepared for Marine Conservation. Nodder et al (2011) Physical biogeochemical and microbial characteristics Chatham Rise - AEBR 70 Tracey et al. (2011) Identification of Protected Corals:

2.5.1	Ecosystem Outcome - The fishery does not cause serious or irreversible harm to the key elements of ecosystem structure and function.	ALL	distribution in relation to fishing effort and accuracy of observer identifications. Draft Final Report prepared for Marine Conservation Services (MCS), Department of Conservation. • DWG & MPI (2013) Hake and Ling Fishery Observer Programme Report. Unpublished Report held by DWG Sep 2013. Akroyd, J., Pierre, J. & Punt, A. (2012) NZ Hoki Fisheries: 2nd [MSC] Reassessment: Public Certification Report, Version 5. September 2012. Ref: MML-F-030. Intertek Moody Marine. 297p • Bagley, N & O'Driscoll, R. (2012). Trawl survey of middle depth species in the Southland and Sub-Antarctic areas, November–December 2009 (TAN0911). New Zealand Fisheries Assessment Report 2012/05. 70p. • Ballara, S., O'Driscoll, R., & Anderson, O (2010). Fish discards and non-target fish catch in the trawl fisheries for hoki, hake, and ling in New Zealand waters. New Zealand Aquatic Environment and Biodiversity Report No. 48. 100p • Dunn et al. (2013) Trophic Interactions and Distribution of Some Squaliforme Sharks, Including New Diet Descriptions for Deania calcea and Squalus acanthias PLoS ONE 8(3): e59938. • Knight et al (2011) Sustainability of NZ's deepwater fisheries from an energetic perspective – an update. Prepared for Deepwater Group Ltd. Cawthron Institute Report No. 2044. • MPI (2013) Fisheries Assessment Plenary May. Stock Assessments and Yield Estimates. • Volume 1: Introduction to Jack Mackerel. Ministry for Primary Industries. May 2013. • Volume 2: John Dory to Red Gurnard. Ministry for Primary Industries. May 2013. • Volume 3: Red Snapper to Yellow eyed Mullet. Ministry for Primary Industries. May 2013. • Volume 3: Red Snapper to Yellow eyed Mullet. Ministry for Primary Industries. May 2013. • Volume 3: Red Snapper to Yellow eyed Mullet. Ministry for Primary Industries. May 2013. • Volume 3: Red Snapper to Yellow eyed holies. • No Priscoll et al. (2011) A review of hoki and middle-depth trawl surveys of the Chatham Rise 1992-2010 – New Zealand Fisheries Assessment Report 2011/47 • Stevens, D., O'Driscoll, R., Dun
			Stevens et al (2011) Diet of Fishes 1960 to 2000 - Aquatic Environment and Biodiversity Report 85
2.5.2	Ecosystem Management - Measures are in place to mitigate risk to ecosystem structure and function.		 DOC (2013) Conservation Services Programme Annual Plan 2013/14. Dept. of Conservation Fisheries (Benthic Protection Areas) Regulations 2007 (SR 2007/308) MPI (2013) Annual Review Report: Deepwater Fisheries 2011-12. June 2013. 78p MPI (2012) Annual Operational Plan for Deepwater Fisheries 2012-13. July 2012. 71p MPI. (2010) National Fisheries Plan for Deepwater and Middle-depth Fisheries. Part 1A MPI. (2010) National Fisheries Plan for Deepwater and Middle-depth Fisheries. Part Part 1B hake fisheries. MPI (2010). Introduction of Bladder Kelp Seaweed, Macrocystispyrifera (KBB), in Fisheries Management Areas 3 and 4 into the Quota Management System on 1 October 2010. Final Advice Paper .24 September 2009.

		 MPI. (2010). Introduction of Patagonian Toothfish (PTO) into the Quota Management System on 1 October 2010: Final Advice Paper. 15 February 2010. MPI (2008) Final Advice Paper - Identification of Candidate Stocks for QMS Introduction MPI (2008) QMS Introduction Process Standard – Executive Summary O'Driscoll et al. (2011) A review of hoki and middle-depth trawl surveys of the Chatham Rise 1992-2010 - FAR 47
2.5.3	Ecosystem Information - Adequate knowledge of impacts of fishery on the ecosystem	 DWG & MPI (2013) Hake and Ling Fishery Observer Programme Report. Unpublished Report held by DWG Sep 2013. Bagley, N & O'Driscoll, R. (2012). Trawl survey of middle depth species in the Southland and Sub-Antarctic areas, November—December 2009 (TAN0911). New Zealand Fisheries Assessment Report 2012/05. 70p. Knight et al (2011) Sustainability of NZ's deepwater fisheries from an energetic perspective – an update. Prepared for Deepwater Group Ltd. Cawthron Institute Report No. 2044. MPI (2013) Fisheries Assessment Plenary May. Stock Assessments and Yield Estimates. Volume 1: Introduction to Jack Mackerel. Ministry for Primary Industries. May 2013. Volume 2: John Dory to Red Gurnard. Ministry for Primary Industries. May 2013. Volume 3: Red Snapper to Yellow eyed Mullet. Ministry for Primary Industries. May 2013. MPI (2012) Aquatic Environment and Biodiversity Annual Review. 390p (see Ecosystem Effects pp 187-205) MPI. (2010) National Fisheries Plan for Deepwater and Middle-depth Fisheries. Part 1A MPI. (2013) National Fisheries Plan for Deepwater and Middle-depth Fisheries. Part 1B Ling Fisheries. MPI (2008) Harvest Strategy Standard for New Zealand Fisheries. Ministry of Fisheries. October 2008. 30p O'Driscoll et al. (2011) A review of hoki and middle-depth trawl surveys of the Chatham Rise 1992-2010 –New Zealand Fisheries Assessment Report 2011/47 Stevens, D., O'Driscoll, R., Dunn, M., Ballara, S., & Horn, P. (2012). Trawl survey of hoki and middle depth species on the Chatham Rise, January 2011 (TAN1101). New Zealand Fisheries Assessment Report 2012/10. 98 p

Principle 3:					
P.I.	80 Scoring Guidelines	Score	Rationale		
3.1.1	Legal/Customary Framework - Management system exists with legal/customary framework • Is capable of delivering sustainable fisheries in accordance with MSC Principles 1 and 2;	ALL	 Akroyd, J., Pierre, J. & Punt, A. (2012) NZ Hoki Fisheries: 2nd [MSC] Reassessment: Public Certification Report, Version 5. September 2012. Ref: MML-F-030. Intertek Moody Marine. 297p Deed of Settlement 1992. Her Majesty the Queen& Maori. DWG (2010) Memorandum of Understanding between the Ministry of Fisheries and the DeepWater Group. Continuing a partnership between the Ministry of Fisheries and the deepwater fishing industry for the management of New Zealand's deepwater fisheries. December 2010. 12p. Fisheries Act 1996 No 88. Fisheries Commercial Fishing Amendment Regulations No 2. 2009 Fisheries (Benthic Protection Areas) Regulations 2007 		

			 (SR 2007/308) Fisheries (Reporting) Regulations 2001 (SR 2001/188) Fisheries (Commercial Fishing) Regulations 2001 (SR 2001/253) Fisheries (Satellite Vessel Monitoring) Regulations 1993 (SR 1993/354) Treaty of Waitangi (Fisheries Claims) Settlement Act 1992 No 121. Lock, K and Leslie, S. (2007), New Zealand's Quota Management System: A History of the First 20 Years, Motu Working Paper 07-02. Motu Economic and Public Policy Research (April 2007). 75p. MPI (2008) Review of Sustainability Measures and Other Management Measures. Volume 2: Initial Position Papers and Summary of Submissions
3.1.2	Consultation, Roles & Responsibilities - Management system has clear processes	ALL	 Akroyd, J., Pierre, J. & Punt, A. (2012) NZ Hoki Fisheries: 2nd [MSC] Reassessment: Public Certification Report, Version 5. September 2012. Ref: MML-F-030. Intertek Moody Marine. 297p Fisheries Act 1996 MPI (2013) Review of Management Controls for Ling 5 and 6 (LIN 5 & 6) MPI Discussion Paper No: 2013/25. July 2013. MPI (2013) Review of Management Controls for Ling 7 (LIN 7) MPI Discussion Paper No: 2013/26. July 2013. MPI (2010) Final Advice Paper - Review of Sustainability Measures (01 Dec 2010) – BSK MPI (2010) Initial Position Paper & Submissions - Review of Sustainability Measures (01 Dec 2010) Vol2 - BSK MPI (2010) Statement of Intent. Ministry of Fisheries MPI (2009) Stakeholder Consultation Process Standard. Ministry of Fisheries. 20p
3.1.3	Long Term Objectives - Management policy contains clear long-term objectives	ALL	 Akroyd, J., Pierre, J. & Punt, A. (2012) NZ Hoki Fisheries: 2nd [MSC] Reassessment: Public Certification Report, Version 5. September 2012. Ref: MML-F-030. Intertek Moody Marine. 297p DOC (2013) Conservation Services Programme Annual Plan 2013/14. Dept. of Conservation DWG (2012) Marine Mammals Operational Procedures (v7) 2011-12. September 2012. DWG (2011) Reporting Operational Procedures (v5) 2011-12 MPI (2013) Annual Review Report. Deepwater Fisheries 2011-12. June 2013. 78p MPI (2012) Annual Operational Plan for Deepwater Fisheries 2012-13. July 2012. 71p MPI (2011) Annual Operational Plan for Deepwater Fisheries 2011-12. July 2011. 75p MPI (2011) Research and Science Information Standard for the New Zealand Fisheries. Ministry of Fisheries. October 2008. 30p MPI (2011) Operational Guidelines for New Zealand's Harvest Strategy Standard. Ministry of Fisheries. June 2011. 80p MPI (2011) Science Working Groups - Terms of Reference. 8p MPI (2010) 10 Year Research Programme for Deepwater Fisheries. Ministry of Fisheries. 148p MPI (2010) National Fishing Plan Deepwater and Middle-depth Fisheries Part 1A. Ministry for Primary Industries MPI (2013) National Fishing Plan Deepwater and Middle-depth Fisheries Part 1B - Ling.

3.1.4	Incentives for Sustainable Fishing - Management system has sustainability incentives	ALL	 Akroyd, J., Pierre, J. & Punt, A. (2012) NZ Hoki Fisheries: 2nd [MSC] Reassessment: Public Certification Report, Version 5. September 2012. Ref: MML-F-030. Intertek Moody Marine. 297p Fisheries Act 1996 No 88. Treaty of Waitangi (Fisheries Claims) Settlement Act 1992 No 121. Lock, K and Leslie, S. (2007), New Zealand's Quota Management System: A History of the First 20 Years, Motu Working Paper 07-02. Motu Economic and Public Policy Research (April 2007). 75p.
3.2.1	Fishery Specific Objectives - Fishery has clear and specific outcome objectives	ALL	 DOC (2013) Conservation Services Programme Annual Plan 2013/14. Dept. of Conservation DWG (2012) Marine Mammals Operational Procedures (v7) 2011-12. September 2012. DWG (2011) Reporting Operational Procedures (v5) 2011-12 MPI (2013) Annual Review Report. Deepwater Fisheries 2011-12. June 2013. 78p MPI (2012) Annual Operational Plan for Deepwater Fisheries 2012-13. July 2012. 71p MPI (2011) Annual Operational Plan for Deepwater Fisheries 2011-12. July 2011. 75p MPI (2011) Research and Science Information Standard for the New Zealand Fisheries. Ministry of Fisheries. October 2008. 30p MPI (2011) Operational Guidelines for New Zealand's Harvest Strategy Standard. Ministry of Fisheries. June 2011. 80p MPI (2011) Science Working Groups - Terms of Reference. 8p MPI (2010) 10 Year Research Programme for Deepwater Fisheries. Ministry of Fisheries. 148p MPI (2010) National Fishing Plan Deepwater and Middle-depth Fisheries Part 1A. Ministry for Primary Industries MPI (2013) National Fishing Plan Deepwater and Middle-depth Fisheries Part 1B - Ling
3.2.2	Decision Making Processes - Management system includes effective decision making	ALL	 Akroyd, J., Pierre, J. & Punt, A. (2012) NZ Hoki Fisheries: 2nd [MSC] Reassessment: Public Certification Report, Version 5. September 2012. Ref: MML-F-030. Intertek Moody Marine. 297p MPI (2013) Annual Review Report: Deepwater Fisheries 2011-12. June 2013. 78p MPI (2013) Fisheries Assessment Plenary May. Stock Assessments and Yield Estimates. Volume 1 - Hake Chapter pp 366-391 MPI (2012) Annual Operational Plan for Deepwater Fisheries 2012-13. July 2012. 71p MPI (2010) Statement of Intent. Ministry of Fisheries MPI (2010) 10 Year Research Programme for Deepwater Fisheries. Ministry of Fisheries. 148p MPI (2009) Stakeholder Consultation Process Standard. Ministry of Fisheries
3.2.3	Compliance & Enforcement - Monitoring, control and surveillance mechanisms in place	ALL	 Akroyd, J., Pierre, J. & Punt, A. (2012) NZ Hoki Fisheries: 2nd [MSC] Reassessment: Public Certification Report, Version 5. September 2012. Ref: MML-F-030. Intertek Moody Marine. 297p DWG (2012) Marine Mammals Operational Procedures (v7) 2011-12. September 2012. DWG (2011) Reporting Operational Procedures (v5) 2011-12 Fisheries Act 1996 No 88. Fisheries Commercial Fishing Amendment Regulations

			 No 2. 2009 Fisheries (Benthic Protection Areas) Regulations 2007 (SR 2007/308) Fisheries (Reporting) Regulations 2001 (SR 2001/188) Fisheries (Commercial Fishing) Regulations 2001 (SR 2001/253) Fisheries (Satellite Vessel Monitoring) Regulations 1993 (SR 1993/354) Kazmierow et al (2010) Commercial Fishers Compliance Decision Making: Perceptions, Experiences and Factors Influencing Regulatory Compliance. Report Prepared for Ministry of Fisheries. 106p MPI (2013) Fisheries Observer Tables 2010-11 & 2011-12 MPI (2009) Compliance Information Sheet 01 - Misreporting. MPI (2009) Compliance Information Sheet 02 - Benchmarking of New Zealand Fisheries MPI (2010) Compliance Information Sheet 03 - Accuracy of Reporting MPI (2010) Compliance Information Sheet 04 - Conversion Factors MPI (2010) Compliance Information Sheet 05 - registration names and numbers MPI (2010) Compliance Information Sheet 06 - Seabird Mitigation Devices MPI (2010) Compliance Information Sheet 07 - Benthic Protection and Seamount Closures
3.2.4	Research Plan - Research plan that addresses management needs are in place	ALL	 DOC (2013) Conservation Services Programme Annual Plan 2013/14. Dept. of Conservation MPI (2013) Fisheries Assessment Plenary May. Stock Assessments and Yield Estimates. Volume 2: John Dory to Red Gurnard. Ministry for Primary Industries. May 2013. (pp 601-668) MPI (2013) Annual Review Report. Deepwater Fisheries 2011-12. June 2013. 78p MPI (2012) Annual Operational Plan for Deepwater Fisheries 2012-13. July 2012. 71p MPI (2011) Annual Operational Plan for Deepwater Fisheries 2011-12. July 2011. 75p MPI (2011) Research and Science Information Standard for the New Zealand Fisheries. Ministry of Fisheries. October 2008. 30p MPI (2011) Operational Guidelines for New Zealand's Harvest Strategy Standard. Ministry of Fisheries. June 2011. 80p MPI (2011) Science Working Groups - Terms of Reference. 8p MPI (2010) 10 Year Research Programme for Deepwater Fisheries. Ministry of Fisheries. 148p MPI (2010) National Fishing Plan Deepwater and Middle-depth Fisheries Part 1A. MPI (2013) National Fishing Plan Deepwater and Middle-depth Fisheries Part 1B - Ling.
3.2.5	Management Performance Evaluation - There is a system of monitoring and evaluating the performance of the fishery-specific management system against its objectives and here is effective and timely review of the fishery-specific management system.	ALL	 Akroyd, J., Pierre, J. & Punt, A. (2012) NZ Hoki Fisheries: 2nd [MSC] Reassessment: Public Certification Report, Version 5. September 2012. Ref: MML-F-030. Intertek Moody Marine. 297p DOC (2013) Conservation Services Programme Annual Plan 2013/14. Dept. of Conservation DWG (2010) Memorandum of Understanding between

- the Ministry of Fisheries and the DeepWater Group. Continuing a partnership between the Ministry of Fisheries and the deepwater fishing industry for the management of New Zealand's deepwater fisheries. December 2010. 12p.
- MPI (2013) Fisheries Assessment Plenary May. Stock Assessments and Yield Estimates. Volume 1 - Hake Chapter pp 366-391
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- MPI (2011) Annual Operational Plan for Deepwater Fisheries 2011-12. July 2011. 75pMPI (2010) 10 Year Research Programme for Deepwater Fisheries. Ministry of Fisheries. 148p
- MPI (2011) Annual Report
- MPI (2010) National Fishing Plan Deepwater and Middle-depth Fisheries Part 1A.
- MPI (2013) National Fishing Plan Deepwater and Middle-depth Fisheries Part 1B - Ling.
- MPI (2010) Overview of New Zealand Fishery Science Peer Review Processes. Ministry of Fisheries. 10 June 2010. 24p